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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: David MANZI

Serial No.: 09/918,059

Group Art Unit: 2872

Filed: July 30, 2001

Examiner: Unassigned

For: LENS SYSTEM AND METHOD

Attorney Docket
No.: 010661-0004-999

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure provisions of 37 C.F.R. §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ A list of all patents, publications, applications, or other information submitted for consideration by the office.
- 1b. A legible copy of :
 - ☒ Each U.S. patent application publication and U.S. and foreign patent;
 - ☒ Each publication or that portion which caused it to be listed on the PTO-1449;
 - ☐ For each cited pending U.S. application, the application specification including the claims, and any drawing of the application, or portion of the application which caused it to be listed on the PTO-1449 including any claims directed to that portion;
 - ☐ all other information or portion which caused it to be listed on the PTO-1449.
- 1c. ☐ An English language copy of search report(s) from a counterpart foreign application or PCT International Search Report.
- 1d. ☐ Explanations of relevancy (ATTACHMENT 1(d), hereto) or English language abstracts of the non-English language publications.

2. ☒ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(b):

- ☐ Within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
 - ☐ Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
 - ☒ Before the mailing of the first Office action on the merits;
 - ☐ Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
3. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(c) after the period specified in 37 C.F.R. §1.97(b), but before the mailing date of any of a final action under 37 C.F.R. §1.113, a notice of allowance under 37 C.F.R. §1.311 or an action that otherwise closes prosecution in the application.

(Check either Item 3a or 3b)

- 3a. ☐ The Certification Statement in Item 5 below is applicable. Accordingly, no fee is required.
- 3b. ☐ The \$180.00 fee set forth in 37 C.F.R. §1.17(p) in accordance with 37 C.F.R. §1.97(c) is:
- ☐ enclosed
 - ☐ to be charged to Pennie & Edmonds LLP Deposit Account No. 16-1150.

(Item 3b to be checked if any reference known for more than 3 months)

4. ☐ This Information Disclosure Statement is filed under 37 C.F.R. §1.97(d) after the period specified in 37 C.F.R. §1.97(c), but on or before the date of payment of the issue fee.

The \$180.00 fee set forth in 37 C.F.R. §1.17(i)(1) is:

- ☐ enclosed.
- ☐ to be charged to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The Certification Statement in Item 5 below is applicable.

5. ☐ Certification Statement *(applicable if Item 3a or Item 4 is checked)*

(Check either Item 5a or 5b)

- 5a. ☐ In accordance with 37 C.F.R. §1.97(e)(1), it is certified that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- 5b. ☐ In accordance with 37 C.F.R. §1.97(e)(2), it is certified that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known by any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This application is a continuation application under 37 C.F.R. §1.60 or §1.53(b) or (d).

(Check appropriate Items 6a, 6b and/or 6c)

- 6a. ☐ A Petition to Withdraw from issue under 37 C.F.R. §1.313(b)(5) is concurrently filed herewith.
- 6b. ☐ Copies of publications listed on Form PTO-1449 from prior application Serial No. __, filed on __, of which this application claims priority under 35 U.S.C. §120, are not being submitted pursuant to 37 C.F.R. §1.98(d).
- 6c. ☐ Copies of the publications listed on Form PTO-1449 were not previously cited in prior application Serial No. __, filed on __, and are provided herewith.
7. ☐ This is a Supplemental Information Disclosure Statement. *(Check either Item 7a or 7b)*
- 7a. ☐ This Supplemental Information Disclosure Statement under 37 C.F.R. §1.97(f) supplements the Information Disclosure Statement filed on __. A bona fide attempt was made to comply with 37 C.F.R. §1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental Information Disclosure Statement can be considered as if properly filed on __.
- 7b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of a PTO Notice under 37 C.F.R. §1.97(i).
8. ☐ In accordance with 37 C.F.R. §1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

(Check Item 8a, 8b, or 8c)

- 8a. ☐ satisfied because all non-English language publications were cited on the enclosed English language copy of the PCT International Search Report or the search report from a counterpart foreign application indicating the degree of relevance found by the foreign office.
- 8b. ☐ set forth in the application.
- 8c. ☐ enclosed as an attachment hereto.
9. ☒ The Commissioner is authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement and/or Petition to Pennie & Edmonds LLP Deposit Account No. 16-1150.
10. ☒ No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than a search report of a foreign counterpart application or PCT International Search Report if submitted herewith). 37 C.F.R. §§1.97(g) and (h).

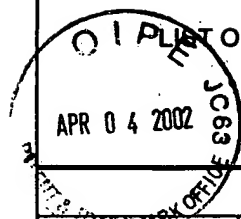
Respectfully submitted,

Date April 4, 2002



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32,110
(Reg. No.)



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

010661-0004-999

09/918,059

APPLICANT

David J. Manzi

FILING DATE

July 30, 2001

GROUP

2873

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,042,295	8/16/77	Yamasita et al.	359 350	735 202	
	AB	4,747,675	5/31/88	Nagler	359 350	443 410	
	AC	4,863,250	9/5/89	Ishizuka	359 350	462 460	
	AD	4,887,592	12/19/89	Loertscher	606	5	
	AE	4,901,718	2/20/90	Bille et al.	60	4	
	AF	4,907,586	3/13/90	Bille et al.	606	5	
	AG	5,152,759	10/6/92	Parel et al.	606	5	
	AH	5,171,242	12/15/92	Dewey et al.	606	4	
	AI	5,243,368	9/7/93	Ito et al.	351	221	
	AJ	5,336,216	8/9/94	Dewey	606	4	
	AK	5,342,351	8/30/94	Blaha et al.	606	4	
	AL	5,364,390	11/15/94	Taboada et al.	606	10	
	AM	5,442,487	8/15/95	Mizuno	359	784	
	AN	5,490,849	2/13/96	Smith	606	5	
	AO	5,569,238	10/29/96	Shei et al.	606	4	
	AP	5,865,830	2/2/99	Parel et al.	606	5	
	AQ	5,984,916	11/16/99	Lai	606	11	
	AR	5,993,438	11/30/99	Juhasz et al.	606	5	
	AS	6,063,072	5/16/00	Muller	606	5	
	AT	6,099,522	8/8/00	Knopp et al.	606	10	
	AU	6,110,166	8/29/00	Juhasz	606	5	
	AV	6,142,630	11/7/00	Koester	351	219	
	AW	6,146,375	11/14/00	Juhasz et al.	606	6	

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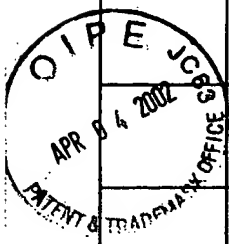
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AX	EP 0993814 A1	4/19/00	Europe				
	AY	EP 1034757 A2	9/13/00	Europe				
	AZ	EP 1034757 A3	9/5/01	Europe				
	BA	EP 1034755 A1	9/13/00	Europe				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

BB	http://www.intralase.com/popfullstory3.html , Pulson FS Laser Technology, (Copyright ©2000 Intralase Corporation), 2 pages
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	BC	Malacara, Daniel; Malacara Zacarias, "Handbook of Lens Design", pp. 398-405 (1994)
	BD	Kingslake, Rudolf, "Lens Design Fundamentals", pp. 60-63 (1978)
	BE	http://www.businesswire.com/webbox/bw.011700/200170015.htm , (BW) (Intralase) Intralase Announces FDA Clearans for New Laser Keratome, Press Release, Jan. 17, 2000, 1 page
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	BG	http://www.intralase.com/mission.html , "Introducing Intralase" (Copyright © 2000 Intralase Corporation), 4 pages
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	BJ	http://www.bgsm.edu/eye/cornea/lasik1.htm , Wake Forest University Eye Center, <u>LASer In-situ Keratomileusis</u> (LASIK), (Copyright © NIDEK CO., LTD.), 11 pages
	BK	http://www.lasik1.com/LASIK_Detailed.html , LASIK Laser Eye Surgery, Detailed Description with Photos (Copyright © 1996-2001 Murray McFadden M.D.), 5 pages
	BL	http://www.aphys.uni-heidelberg.de/AG_Bille/Projekt/femto.html , Femto Group, Abstract: What we are doing..., (Copyright © Institute of Applied Physics, University of Heidelberg), 10 pages
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	BN	http://www.slackinc.com/EYE/osni/199802/micro4.htm , "Trends in Technology: Microkeratomes, Laser Keratomes," <i>Ocular Surgery News</i> , February 1998 (Copyright © 1998 SLACK Incorporated, Revised 20 March 1998), 3 pages

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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